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Patentanmeldung Nr. Patent application No. Demande de brevet n°

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Der Präsident des Europäischen Patentamts;  
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For the President of the European Patent Office

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**Blatt 2 der Bescheinigung**  
**Sheet 2 of the certificate**  
**Page 2 de l'attestation**

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## Image segmentation

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Image segmentation generally concerns selection and/or separating a selected part of a dataset. Notably such a dataset represents image information of an imaged object and the selected part relates to a specific part of the image. The dataset is in general a multi-dimensional dataset that assigns data-values to positions in a multi-dimensional geometric space. In particular, such datasets can be two-dimensional or three-dimensional images where the data-values are pixel-values, such as brightness values, grey values or colour values, assigned to positions in a two-dimensional plane or a three-dimensional volume.

- 10                   The invention pertains to a method of segmenting a selected region from a multi-dimensional dataset comprising the steps of
- setting-up a shape model representing the general outline of the selected region
  - setting-up an adaptive mesh representing an approximate contour of the selected region
  - which adaptive mesh is
- 15                   – initialised on the basis of the shape model .

Such a method of segmenting a selected region from an three-dimensional dataset is known from the paper '*An efficient 3D deformable model with a self-optimising mesh*' by A.J. Bulpitt and N.E. Efford in Image and Vision Computing 14(1996)573-580.

20                   The known method operates on a multi-dimensional dataset in the form of a three-dimensional image. The known method employs a triangular mesh to represent a surface of the selected region. A so-called distance transform is used to initialise the adaptive mesh and when the mesh is close to its final solution an image grey level gradient is used to

25                   drive the deformation of the mesh.

An object of the invention is to provide a method of segmenting a selected region from a dataset which is computationally fast, more robust and more accurate than the conventional method.

5 This object is achieved by the method of segmenting a selected region from a dataset wherein according to the invention the adaptive mesh is deformed depending on the shape model and on feature information of the selected region.

10 The selection of the selected region may be effected by the initialisation of the segmentation. In particular the initialisation is done on the basis of the shape model. Such a shape model represents the selected region in terms of a parameterisation of *a priori* shape information. This *a priori* shape information and the positioning of the shape model in the multi-dimensional dataset determines the region that is being selected. In particular, the shape model is an active shape model that allows for adaptation of its translation and orientation  
15 and pose, but also of shape parameters representing smaller details of the shape of the region that is represented by the active shape model. The adaptive mesh represents an approximate contour. The approximate contour represents an estimate of the boundary of the selected region relative to the remainder of the multi-dimensional dataset. The contour is in general a hypersurface in the multi-dimensional dataset, such as a surface or a curve. The contour has a  
20 dimension that is lower than the dimensionality of the multi-dimensional dataset. As the adaptive mesh is deformed, the approximate contour more accurately approaches the boundary of the selected region. According to the invention the adaptive mesh is being deformed on the basis of feature information together with information from the shape model. The feature information for example concerns image features such as edges and  
25 surfaces of about equal data-values. Such feature information is preferably represented by local gradients in the dataset, i.e. local relative changes of the data-values. Strong gradients indicate the presence of boundaries and the direction of the gradients is locally perpendicularly to the boundary. The shape model is being employed during the successive steps of adaptation of the mesh. For example, the adaptive mesh is deformed on the basis of  
30 an internal energy and according to the invention the internal energy is defined in dependence on the shape model. Thus, information provided by the shape model is more effectively employed to drive the adaptive mesh to the actual boundary of the selected region. Notably, image features in the multi-dimensional dataset which would drive the adaptive mesh to false

boundaries, so-called false attractors, are substantially avoided, while a particular close initialisation is not required.

These and other aspects of the invention will be further elaborated with references to the preferred implementations and embodiments as defined in the dependent

5 Claims.

Preferably, the shape model is updated upon deformation of the adaptive mesh. In particular the parameters of the shape model such as the position, scale and pose are adapted as the boundary is approached by the adaptive mesh.

10 In a further preferred implementation of the invention on or several local surface patches of the selected region are detected. An individual local surface patch is a small area tangent to the boundary of the selected region. In practice the local surface patch is taken as a small region transverse to a local gradient in the neighbourhood of the current adaptive mesh. During mesh adaptation, boundaries are detected, which are in agreement with the adaptive mesh and no care is taken whether they belong to the object, which should  
15 be segmented. Normally, some boundaries are correctly detected and others are misleading in the first few iterations of mesh adaptation. As the correct boundaries are more consistent with the model than the misleading boundaries, the fraction of correctly detected boundary points increases during mesh adaptation.

Subsequently, an individual vertex of the adaptive mesh is moved in the  
20 direction transverse to the local surface patch at issue so as to deform the mesh. In this way it is avoided that the mesh is deformed in the direction of 'false attractors'.

Preferably, the deformation of the mesh is carried-out in that individual vertices of the adaptive mesh are moved toward to the surface patch dependent on the angle between the local normal to the adaptive mesh and the local normal to the surface patch.  
25 Usually, the individual vertex is moved towards the surface patch that is closest to the vertex at issue. In this way the adaptive mesh is deformed while adequately taking available information on image features into account. Notably, the image features as represented by the orientation of the local surface patch is most relevant for deformation of the adaptive mesh while avoiding influence of so-called false attractors. Particularly advantageous results are  
30 obtained when the vertices are moved essentially perpendicularly towards the local surfaces patches.

Further, advantageously, gradients in the dataset having a magnitude smaller than a pre-set threshold value are discarded for forming the surface patches and deformation

of the adaptive mesh. Thus, deviations in the adaptive mesh deformation due to false attractors is further avoided.

A preferably implementation of the adaptive mesh deformation is made on the basis of so-called energy functions. The term 'energy' in this respect is not related to a physical energy, but is used because the concept of a deformable adaptive mesh has strong  
5 resemblance with a string of masses (the vertices) interconnected by springs (the bonds) moving in under the influence of a force field and striving towards a stable situation of minimum energy. In this resemblance, the external energy pertains to the external force field applied to the vertices and the internal energy pertains to the mutual interaction of between  
10 the vertices. Notably, the internal energy relates to the shape of the adaptive mesh.

According to the invention, preferably the relative weight of the external energy relative to the internal energy is controlled by a parameter that may be adjusted by the user. This provides additional flexibility in implementing the deformation of the adaptive mesh in that the relative influences of the force fields and the shape of the adaptive mesh can be adapted.

15 Preferably, the external energy is made dependent on feature information of the selected region relative to the actual configuration of the adaptive mesh. Thus, it is achieved that the external forces drive the adaptive mesh towards image features, such as strong boundaries, of the selected region. In order to control the shape of the adaptive mesh to resemble to a certain degree the shape of the selected region, advantageously the internal energy is dependent on  
20 the shape model. Thus it is avoided that the external forces cause the adaptive mesh to strongly deviate from the rough shape of the selected region. The co-operation of the internal energy and the external energy leads to fast and reliable convergence of the adaptive mesh accurately to the actual boundary of the selected region. As the shape model is updated upon deformation of the adaptive mesh, the co-operation of the internal energy and the external  
25 energy is further improved in that the most updated information is employed and deviations due to false attractors is effectively avoided.

The invention also relates to a dataprocessor for segmenting a selected region from a multi-dimensional dataset. The dataprocessor according to the invention is defined in Claim 9. The dataprocссор according to the invention is able to carry-out the method  
30 according to the invention and notably avoid deviations due to false attractors.

The invention also relates to a computer programme including instructions for segmenting a selected region from a multi-dimensional dataset. The computer programme according to the invention is defined in Claim 10. The computer programme according to the invention is preferably loaded into the working memory of a dataprocessor. Thus the



dataprocessor is equipped to carry-out the method of the invention. The computer programme may be supplied on a data carrier such as a CD-rom. The computer programme may also be supplied over a network such as the world-wide web and can be downloaded from such a network into the working memory of the dataprocessor.

5                    These and other aspects of the invention will be elucidated with reference to the embodiments described hereinafter and with reference to the accompanying drawing wherein

10                    The Figure illustrates the deformation of the adaptive mesh as employed in the method of the invention.

                    In an actual implementation of the method of the invention, a triangular  
15                    adaptive mesh represents the deformable model. The adaptive mesh comprises N vertices with co-ordinates  $\hat{x}_1, \dots, \hat{x}_N$ . The adaptive mesh is adapted with an iterative procedure, where each iteration includes the following two steps:

1. Surface detection is carried out to detect local surface patches of the selected region;
2. Reconfiguration of the adaptive mesh to update the mesh in that the vertices of the mesh  
20                    are moved towards the local surface patches.

The reconfiguration of the mesh is done by minimising the energy.

$$E = E_{ext} + \alpha E_{int}$$

25                    The external energy  $E_{ext}$  drive the adaptive mesh towards the surface patches obtained in the surface detection step. The internal energy  $E_{int}$  restricts the flexibility of the adaptive mesh. The parameter  $\alpha$  weights the relative influence of both terms.

The individual steps are now discussed in more detail.

Surface detection is carried out the triangle centres  $\hat{x}_i$  of the adaptive mesh. A search is for surface patches performed along the triangle's normal  $\bar{n}_i$  to find the point  $x_{ti}$  with the optimal  
30                    combination of feature value  $F(x)$  and distance  $j\delta$  to the triangle centre  $\hat{x}_i$ :

$$\tilde{x}_i = \hat{x}_i + \delta \bar{n}_i \arg \min_{j=-1, \dots, J} [Dj^2 \delta^2 - F(\hat{x}_i + j\delta \bar{n}_i)]$$

The parameter  $D$  controls the combination of distance information with the feature value  $F(x)$ , the parameter  $l$  defines the length of the profile searched for surface patches and the parameter  $\delta$  is the distance between sample points on the profile.

- 5 By combining feature value and distance information, surface points next to the adaptive mesh are preferred and the deformation of the adaptive mesh becomes much more stable as compared to detection of the point with maximum feature value. Thus, deviation due to false attractors is effectively avoided or reduced.

For example, the quantity

$$10 \quad F(x) = \pm \frac{g_{\max}(g_{\max} + \|g\|)}{g_{\max}^2 + \|g\|^2} \vec{n} \cdot \vec{g} = \pm \vec{n} \cdot \vec{g} \begin{cases} 1(\|g\| \leq g_{\max}) \\ \frac{g_{\max}}{\|g\|}(\|g\| \geq g_{\max}) \end{cases}$$

- is used as feature value, where the sign is chosen in dependence of the brightness in the selected region with respect to its surroundings. For surface points with a gradient magnitude  $\|g_i\|$  smaller than the threshold value  $g_{\max}$ , this feature value is essentially the gradient in the direction of the local normal to the adaptive mesh. If the gradient value is above the threshold value, the feature value represents the scalar product between the local normal to the mesh and the gradient direction. Thus, the feature value takes the angle between the gradient and the local normal to the mesh into account.
- 15

Advantageously, the external energy has the form

20

$$E_{\text{ext}} = \sum_{\text{triangles}} w_i \left[ \left( \frac{\vec{g}_i}{\|g_i\|} (\tilde{x}_i - \hat{x}_i) \right)^2 \right]$$

with the weights

$$w_i = \max[0, F(\tilde{x}_i) - Dj^2 \delta^2]$$

- This external energy causes the vertices of the adaptive mesh to move perpendicularly to the local surface patch as shown in the Figure.
- 25

A good choice of the internal energy defined as follows. The starting point is a shape model represented by a mesh of triangles. The vertex co-ordinates of the mesh of the shape model are given by.

$$\bar{m}_i = \bar{m}_i^0 + \sum_{k=1}^M p_k \bar{m}_i^k$$

In this expression  $m_i^0, \dots, m_i^N$  denote the vertex co-ordinates of the mean model, and  $m_i^k, \dots, m_N^k$  describe the variation of the vertex co-ordinates associated with the eigenmodes ( $k=1, \dots, M$ ) of the model,  $p_1, \dots, p_M$  denoting the weights of the eigenmodes.

The internal energy is designed to maintain the distribution of vertices to some extent. Thus, pathological deformations due to false attractors is avoided.

The internal energy is given as:

$$E_{\text{int}} = \sum_{\text{vertices } i} \sum_{\text{neighbours } j} \left\{ \bar{x}_i - \bar{x}_j - sR \left[ \bar{m}_i^0 - \bar{m}_j^0 + \sum_{k=1, \dots, M} p_k (\bar{m}_i^k - \bar{m}_j^k) \right] \right\}^2$$

where  $s$  and  $R$  represent the scale and orientation of the shape model.

The deformation of the adaptive mesh is done in two steps. In the first step scaling  $s$  and orientation  $R$  of the shape model with the current weights  $p_i$  of the eigenmodes is determined with respect to the current configuration of the adaptive mesh. Point-based registration methods based on singular value decomposition are found to function appropriately to determine scaling and rotation. In the second step, the vertex co-ordinates  $x_i$  and the weights  $p_i$  are updated using the scaling  $s$  and the orientation  $R$  as determined in the first step. The energy function  $E$  is a quadratic function with respect to these parameters. Minimising this energy is conveniently done by way of a conjugate gradient method, which is known as such from the handbook 'Practical Optimisation' (Academic Press, San Diego 1981) by P.E. Gill et al. This method takes advantage of the fact that the matrix involved in the minimisation is sparsely occupied.

The deformation of the adaptive mesh until convergence to the accurate approximation of the boundary of the selected region takes only a short time, up to about 30s, which makes the segmentation according to the invention quite practical even in the event that large datasets are involved. Notably, such large datasets may occur in medical diagnostic applications where three-dimensional datasets with high spatial resolution are employed. Good results are obtained especially in the segmentation of vertebrae from three-dimensional images of the human spine. This application is when no steps were taken quite prone to false attractors formed by portions of neighbouring vertebrae.

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CLAIMS:

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18. 06. 2001

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1. A method of segmenting a selected region from a multi-dimensional dataset comprising the steps of

- setting-up a shape model representing the general outline of the selected region
- setting-up an adaptive mesh representing an approximate contour of the selected region
- 5 - which adaptive mesh is
  - initialised on the basis of the shape model and wherein
  - the adaptive mesh is deformed depending on the shape model and on feature information of the selected region.

10 2. A method of segmenting a selected region as claimed in Claim 1, wherein the shape model is updated upon deformation of the adaptive mesh.

3. A method of segmenting a selected region as claimed in Claim 1, wherein

- one or more local surface patches of the selected region are detected and
- 15 - the mesh is deformed in dependence of the local orientation of the mesh relative to the local surface patch(es).

4. A method of segmenting a selected region as claimed in Claim 3,

- the adaptive mesh including vertices and links connecting individual vertices wherein
- 20 - the mesh is deformed in that individual vertices are moved towards respective surface patches.

5. A method of segmenting a selected region as claimed in Claim 4, wherein individual vertices are moved in dependence of the angle between the local normal to the mesh and the normal to the surface patch.

25

6. A method of segmenting a selected region as claimed in Claim 5 wherein individual vertices are moved in the direction normal to the surface patch.

7. A method of segmenting a selected region as claimed in Claim 1, wherein the mesh adaptation is performed on the basis of optimising a value of an energy function

– the energy function having an internal energy contribution depending on the shape model and

5. – an external energy contribution depending on feature information of the selected region and the actual configuration of the adaptive mesh.

8. A method of segmenting a selected region as claimed in Claim 7, wherein the energy function includes a weighted combination of the internal energy contribution and the  
10 external energy contribution, involving adjustable eight factors.

9. A data processor arranged to

– set-up a shape model representing the general outline of the selected region

– set-up an adaptive mesh representing an approximate contour of the selected region

15 – which adaptive mesh is

– initialised on the basis of the shape model and

– deform the adaptive mesh depending on the shape model and on feature information of the selected region.

20 10. A computer programme including instructions to

– set-up a shape model representing the general outline of the selected region

– set-up an adaptive mesh representing an approximate contour of the selected region

– which adaptive mesh is

– initialised on the basis of the shape model and

25 – deform the adaptive mesh depending on the shape model and on feature information of the selected region.

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ABSTRACT:

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A method of segmenting a selected region from a multi-dimensional dataset comprising the steps of setting-up a shape model representing the general outline of the selected region and setting-up an adaptive mesh. The adaptive mesh represents an approximate contour of the selected region. The adaptive mesh is initialised on the basis of the shape model. Further, the adaptive mesh is deformed depending on the shape model and on feature information of the selected region.

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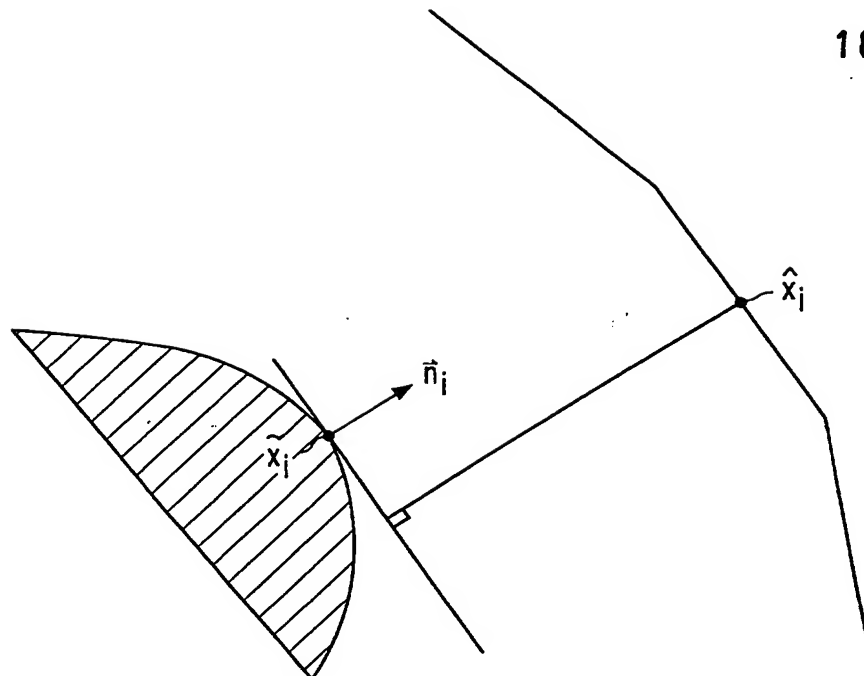
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